



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#6/8/m  
05-01-02

Applicant: Palumbo et al.

Group Art Unit: 1755

Serial No.: 09/754,988

Examiner: C. Shosho

Filed: January 5, 2001

For: POLYMERS AND OTHER GROUPS ATTACHED TO PIGMENTS AND SUBSEQUENT REACTIONS

Commissioner for Patents  
Washington, D.C. 20231

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to the duty of disclosure under 37 C.F.R §§ 1.56, 1.97 and 1.98, Applicants respectfully request consideration of this Supplemental Information Disclosure Statement.

*Section 1. Preliminary Statements*

Applicants submit herewith patents, publications, or other information on the attached form PTO-1449 (modified) of which they are aware, which they believe may be material to the examination of the above-identified application and in respect of which there may be a duty to disclose. These references also include a copy of the Written Opinion of the corresponding International Application No. PCT/US01/00380, as well as the cited references not already submitted.

The filing of this Supplemental Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

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By submitting this Supplemental Information Disclosure Statement, Applicants make no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

The order of presentation of the references should not be construed as an indication of the importance of the references.

The filing of this Supplemental Information Disclosure Statement shall not be construed as an admission against interest in any manner.

Legible copies of **all** items listed on the attached form PTO-1449 (modified) are enclosed.  
It is respectfully requested that:

1. The Examiner considers completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited patent(s) and publication(s) has (have) been fully considered by the Patent and Trademark Office during the examination of this application; and
3. The citations for the patent(s) and publication(s) be printed on any patent which issues from this application.

Notwithstanding the above, the Examiner is urged to form his/her own conclusions regarding the relevance of each cited reference. An early and favorable action is respectfully requested.

Respectfully submitted,

- *Michelle B. Lando*

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Date: April 12, 2002

Docket No.: 99097CIP  
99097-SupIDSletter

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
99097CIP

In Re Application Of: Palumbo et al.

APR 22 2002

Serial No.  
09/754,988

Filing Date  
January 5, 2001

Examiner  
C. Shosho

Group Art Unit  
1714

Title:

POLYMERS AND OTHER GROUPS ATTACHED TO PIGMENTS AND SUBSEQUENT REACTIONS

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

37 CFR 1.97(b)

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(e))

Docket No.  
99097CIP

In Re Application: Palumbo et al.

APR 22 2002

PATENT & TRADEMARK OFFICE  
U.S. DEPARTMENT OF COMMERCE

Serial No.  
09/754,988

Filing Date  
January 5, 2001

Examiner  
C. Shosho

Group Art Unit  
1714

**POLYMERS AND OTHER GROUPS ATTACHED TO PIGMENTS AND SUBSEQUENT REACTIONS**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \_\_\_\_\_ is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 03-0060 as described below. A duplicate copy of this sheet is enclosed.
- Charge the amount of \$180.00
- Credit any overpayment.
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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F)

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\_\_\_\_\_  
Michelle B. Lando  
Signature

Michelle B. Lando

Reg. No. 33,941

Cabot Corporation

Law Department

157 Concord Road

Billerica, MA 01821

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited April 12, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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Michelle B. Lando

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